



#5

Attorney's Docket No.: 13724-787

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )  
Gough, et al. ) Group Art Unit: 3309  
Application No.: 08/963,239 ) Examiner: not assigned  
Filed: November 3, 1997 )  
Title: MULTIPLE ANTENNA ABLATION )  
APPARATUS AND METHOD )

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is a copy of the Official Filing Receipt received in the identified patent application marked in red to identify the corrections needed. The corrections are as follows:

In the Applicant(s):

After the inventor name "EDWARD J. GOUGH", please add --MENLO PARK, CA--.

After the inventor name "ALAN A. STEIN", please add -- MOSS BEACH, CA--.

Issuance of a corrected Filing Receipt is respectfully requested.

The Commissioner is authorized to charge the fee for correcting the filing receipt of \$25.00, to Deposit Account No. 23-2415 (13724-787). The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, including petition fees, to Deposit Account No. 23-2415 (13724-787).

Dated:

3/31/98

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

By:

Paul Davis, Reg. No. 29,294

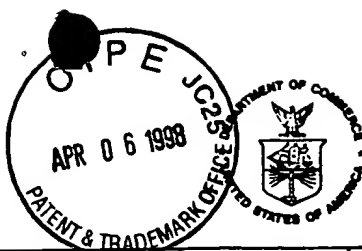
650 Page Mill Road  
Palo Alto, CA 94304  
Telephone: (650) 493-9300

04/10/1998 MGDOROW 00000018 232415 08963239  
01 FC:576 25.00 CH

- 1 -

H:\PRIVATE\WPDOCS\PD\ZOMD\1039C8C1.CFR

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE #3  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/963,239	11/03/97	3309	\$0.00	13724-787	8	44	3

PAUL DAVIS  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO CA 94304-1050

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

EDWARD J. GOUGH; ALAN A. STEIN. --- MENLO PARK, CA --- MOSS BEACH, CA

CONTINUING DATA AS CLAIMED BY APPLICANT-


THIS APPLN IS A CIP OF 08/605,323 02/14/96 PAT 5,728,143  
WHICH IS A CIP OF 08/515,379 08/15/95 PAT 5,683,384

FOREIGN FILING LICENSE GRANTED 02/18/98

TITLE

MULTIPLE ANTENNA ABLATION APPARATUS AND METHOD

PRELIMINARY CLASS: 606

Please type a plus sign (+) inside box: 

Approved for use through 9/30/00. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB/21 (12-97)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

SECTOR #3

# TRANSMITTAL FORM

to be used for all correspondence after initial filing)

Application Number	08/963,239
Filing Date	11/03/97
First Named Inventor	Gough
Group Art Unit	3309
Examiner Name	not assigned
Attorney Docket Number	13724-787

Total Number of Pages in This Submission

15

## ENCLOSURES

- ☒ Fee Transmittal Form
- ☐ Fees Attached
- ☐ Amendment/Response
- ☐ After Final
- ☐ Affidavits/declarations
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☒ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☒ Request for Corrected Official Filing Receipt
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ To Convert a Provisional Application
- ☒ Power of Attorney, Revocation, Change of Corresp, Address
- ☐ Terminal Disclaimer
- ☒ Small Entity Statement
- ☐ Request for Refund
- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☒ Declaration for Patent Application
- ☐ Status Letter
- ☐ Additional Enclosure(s) (please identify below):

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name PAUL DAVIS, REG. NO. 29,284, WILSON SONSINI GOODRICH & ROSATI

Signature

Date

3/31/98

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

April 1, 1998

Typed or printed name

Sidney Manibusan

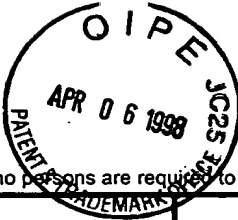
Signature

Sidney Manibusan

Date

April 1, 1998

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL**Note: Effective October 1, 1997.  
Patent fees are subject to annual revision.**Complete if Known**

Application Number	08/963,239
Filing Date	11/03/97
First Named Inventor	Gough
Group Art Unit	3309
Examiner Name	not assigned
Attorney Docket Number	13724-787

**TOTAL AMOUNT OF PAYMENT** (\$) 1,053.00**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 23-2415 (13724-787)  
Deposit Account Name: Wilson Sonsini Goodrich & Rosati

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- 
- ☐
- Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

- 2.
- ☐
- Payment Enclosed:
- 
- ☐
- Check
- ☐
- Money Order
- ☐
- Other

**FEE CALCULATION****1. FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	790	201	395	Utility filing fee	395.00
106	330	206	165	Design filing fee	
107	540	207	270	Plant filing fee	
108	790	208	395	Reissue filing fee	
114	150	214	75	Provisional filing fee	
<b>SUBTOTAL (1) (\$)</b>					395.00

**2. CLAIMS**

	Extra	Fee from below	Fee Paid
Total Claims 44 - 20 =	24	22	528.00
Independent Claims 3 - 3 =	0	82	0
Multiple Dependent Claims	0	270	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	22	203	11	Claims in excess of 20	
102	82	202	41	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim	
109	82	209	41	Reissue independent claims over original patent	
110	22	210	11	Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2) (\$)</b>					528.00

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	950	217	475	Extension for reply within third month	
118	1,510	218	755	Extension for reply within fourth month	
128	2,060	228	1,030	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,320	241	660	Petition to revive - unintentional	
142	1,320	242	660	Utility issue fee (or reissue)	
143	450	243	225	Design issue fee	
144	670	244	335	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) 25 For correction of Official Filing Receipt					25.00
Other fee (specify)					
<b>* Reduced by Basic Filing Fee Paid</b>					
<b>SUBTOTAL (3) (\$)</b>					130.00

**SUBMITTED BY**

Typed or Printed Name	Paul Davis	Reg. Number	29,294
Signature		Deposit Account User ID	23-2415 (13724-787)
Date	3/31/98		



13724-787-  
Rita Medl. PD  
MENT OF COMMERCE  
fice  
ENTS AND TRADEMARKS  
1 #3

08/963,239 11/03/97 GOUGH 13724-787

0242/0213

NOT ASSIGNED

DATE MAILED: 3309

02/18/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION .**  
***Filing Date Granted***

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$\_\_\_\_\_ for a ☐ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 1448.

- ☐ 1. The statutory basic filing fee is:
- ☒ missing.
  - ☐ insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. Additional claim fees of \$ 528.00, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The path or declaration: ☒ is missing.

- ☒ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

**An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the application by the above Application Number and Filing Date is required.**

- ☐ 4. The signature(s) to the oath or declaration is/are:  
☐ missing.

- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

***A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.***

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$\_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.  
*See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."*
- ☐ 9. OTHER:

**Direct the response and any questions about this notice to “Attention: Box Missing Parts.”**

***A copy of this notice MUST be returned with the response.***

Customer Service Center  
Initial Patent Examination Division (703) 308-1202